



## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10/034,356	12/27/2001	John M. Pinneo	P1-004DVA

CONFIRMATION NO. 7958

## FORMALITIES LETTER



\*OC000000007667627\*

Kenneth D'Alessandro  
Sierra Patent Group, Ltd.  
P.O. Box 6149  
Stateline, NV 89449

Date Mailed: 03/19/2002

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 740 to complete the basic filing fee for a non-small entity. If appropriate, applicant may make a written assertion of entitlement to small entity status and pay the small entity filing fee (37 CFR 1.27).*
- Total additional claim fee(s) for this application is \$18.
  - \$18 for 1 total claims over 20.
- The oath or declaration is missing.  
*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 888.**

The application is informal since it does not comply with the regulations for the reason(s) indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Substitute drawings in compliance with 37 CFR 1.84 because:
  - drawing sheets do not have the appropriate margin(s) (see 37 CFR 1.84(g)). Each sheet must include a top margin of at least 2.5 cm. (1 inch), a left side margin of at least 2.5 cm. (1 inch), a right side margin of at least 1.5 cm. ( 5/8 inch), and a bottom margin of at least 1.0 cm. (3/8 inch);

04/18/2002 MMHAMM1 00000018 10034356

01 FC:201	370.00 OP
02 FC:203	9.00 OP
03 FC:205	65.00 OP

*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center

Initial Patent Examination Division (703) 308-1202

**PART 2 - COPY TO BE RETURNED WITH RESPONSE**

[illegible]



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Group Art Unit: 2181

Examiner:

Serial No. 09/698,516

Filed: October 27, 2000

In re Application of: John M. Pinneo

For: IMPROVED THERMAL MANAGEMENT COMPONENTS

Certificate of Mailing

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as First Class Mail, in an envelope addressed to Director of Patents, Box Assignment, Washington, D.C. 20231 on 3/5/01, Signed Stephanie Davis  
Stephanie Davis

**TRANSMITTAL LETTER**

Director of Patents  
Box Assignment  
Washington, D.C. 20231

Sir:

Attached hereto for filing are the following:

1. Executed assignment by the inventor, John M. Pinneo to assignee, P1 Diamond, and recordation cover sheet; and
2. Check in the amount of \$40.00 for recordation of the assignment - Fee Code 581.

In the event any additional fee is required for filing the above-noted document, including any fees required under 37 CFR 1.136 for any necessary Extension of Time to make the filing of the attached document timely, the Assistant Commissioner is hereby

authorized to charge the fee to our Deposit Account No. 50-0612. A duplicate copy of this page is enclosed.

Respectfully submitted,  
Sierra Patent Group, Ltd.

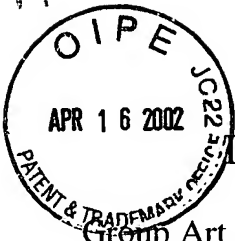


Dated: March 5, 2001

Andrew V. Smith  
Reg. No. 43,132

Sierra Patent Group, Ltd.  
P.O. Box 6149  
Stateline, NV 89449  
(775) 586-9500

ENCLOSURE



MH

PATENT  
Docket No.: P1-004DVA

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Group Art Unit: 3627

Examiner: Not yet assigned

Serial No.: 10/034,356

Filed: December 27, 2001

In re Application of: John M. Pinneo

For: THERMAL MANAGEMENT COMPONENTS

Certificate of Mailing

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as First Class Mail, in an envelope addressed to Director of Patents Box Missing Parts, Washington, D.C. 20231 on 4/10/02, Signed Stephanie Davis  
Stephanie Davis

**RESPONSE TO NOTICE TO FILE MISSING PARTS**

Director of Patents  
Box Missing Parts  
Washington, D.C. 20231

Dear Sirs:

Responsive to the Notice to File Missing Parts dated March 19, 2002,  
please find enclosed herewith:

1. A copy of the Declaration and Power of Attorney from the parent application, executed by the inventor;

2. Five (5) Sheets of Drawings with the appropriate margins;

3. A copy of the Recorded Assignment from the parent application;

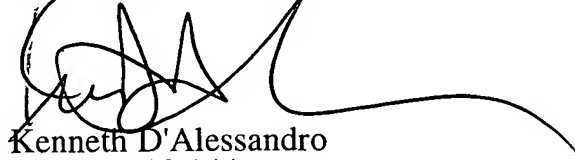
4. Check in the amount of \$444.00 for a small entity calculated as follows:

Filing Fee	\$370.00
1 Additional Claim	\$9.00
<u>Surcharge</u>	<u>\$65.00</u>
Total	\$444.00

5. Copy of Notice to File Missing Parts.

In the event any variance exists between the amount enclosed and the patent office charges for filing the above-noted documents, including any fees required under 37 CFR 1.136 for any necessary Extension of Time to make the filing of the attached documents timely, the Assistant Commissioner is hereby authorized to charge or credit the difference to our Deposit Account No. 50-0612. An additional copy of this page is enclosed.

Respectfully submitted,  
Sierra Patent Group, Ltd.



Kenneth D'Alessandro  
Reg. No. 29,144

Dated: April 10, 2002

Sierra Patent Group, Ltd.  
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